Rec'd PCT/PTC 1 0 JUN 2005 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES 40072-0022 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) a/s@mgd2 4 6 6 **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. **December 13, 2002 December 15, 2003** PCT/JP03/16036 TITLE OF INVENTION INTERMEDIATES OF 2-SUBSTITUTED CARBAPENEM DERIVATIVES AND PROCESS FOR PRODUCTION THEREOF APPLICANT(S) FOR DO/EO/US Toshiro SASAKI; Takashi ANDO; Yasuo YAMAMOTO; Takahiro IMAI; Dai KUBOTA; Katsuhiko NOGUCHI; Nobuyuki HORI; Eiki SHITARA; Kunio ATSUMI; Shohei YASUDA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 冈 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include 3. П items (5), (6), (9) and (21) indicated below.  $\boxtimes$ The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5.  $\boxtimes$ is attached hereto (required only if not communicated by the International Bureau). a. b. 冈 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). П C. A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$ 6.  $\boxtimes$ is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  $\boxtimes$ 7. are attached hereto (required only if not communicated by the International Bureau). a. had been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d.  $\boxtimes$ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  $\boxtimes$ 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is  $\boxtimes$ 12.

- included
- 13.  $\boxtimes$ A preliminary amendment.
- An Application Data Sheet under 37 CFR 1.76.  $\boxtimes$ 14
- 15. A substitute specification.
- A power of attorney and/or address letter. 16.
- A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 37 CFR 1.821-1825 17. П
- A second copy of the published international application under 35 U.S.C. 154(d)(4) 18. П
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.
- Other items or information: International Search Report (2/10/04) 20.  $\boxtimes$

## JC17 Rec'd PCT/PTO 10 JUN 2005

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 47) 38466			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
			PCT/JP03/16036		40072-0022	
21. The following fees are submitted:					CALCULATIONS PTO USE ONLY	
⊠ a) Basic national fee\$300.00						
⋈	b) Examination fee					
$\boxtimes$	c) Search fee					
TOTAL OF ABOVE CALCULATIONS =					\$1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					\$250.00	
TOTAL SHEETS	EXTRA SHEETS	NUMBER EXTRA (of each additional 50 or fraction thereof rounded up to a whole number)				
-100 =	50 =			\$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE						
	37 - 20 =	- 1 10	17	x \$50.00	\$850.00	
Total claims Independent						
claims 6 - 3 =			3	x \$200.0	\$600.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.0  TOTAL OF ABOVE CALCULATIONS =						
	\$2700.00					
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						
SUBTOTAL =					\$2700.00	
Processing fee of earliest claimed or						
earliest claimed bi	\$2700.00					
Fee for recording accompanied by a	\$40.00					
accompanied by a	Trappropriate devel energy	\$2740.00				
					Amount to be refunded:	\$
					Amount to be charged:	\$
a. A check in the amount of \$2,740.00 to cover the above fees is enclosed.						
b. 🔲 Please	charge my Deposit Accou	to cover the above fees.				
A duplicate copy of this sheet is enclosed.						
c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment						
To Deposit Account No. 08-1641 A duplicate coy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:  SIGNATURE						
HELLER EHRMAN LLP 1717 Rhode Island Avenue, N.W.			/	John P. Isacson		
Washington, D.C. 20036			NAME		Date	
Customer No.: 26633 REGISTRATION NO.: 33,715				June 10, 2005		